Daniel Cookman dannycookman@gmail.com

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I am an ambitious, commercially minded and diligent team player. An experienced and results oriented professional. A decisive and persuasive leader with excellent communication skills and a proven ability to effectively manage complex change projects through to completion.

**Education**

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| **Date** | **Institution** | **Course** |
| 2020 | Chartered Accountants Ireland | Diploma, Risk Management, Internal Audit & Compliance  |
| 2020 | Association of Anti-Money Laundering Specialists  | CGSS Certification |
| 2019 | Association of Anti-Money Laundering Specialists | ACAMS Certification |
| 2017 | University College Dublin | LL.M International Commercial Law |
| 2016 | Dublin Business School | LL.B Law |
| 2015 | Dublin Business School | B.A Legal Studies |

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| Skills & Competencies |
| 1) Legal Research & Analysis |
| 2) Data Analysis |
| 3) Project Management |
| 4) Regulatory Compliance  |
| 5) Problem Solving  |
| 6) Oral & Written Communication  |

**Professional Experience**

**November 18 - Present**

**Industry Consultant**

Promontory Financial Group, an IBM Company.

**Representative Engagements**

* Successfully examined a national banking institutions internal control framework, in conjunction with conducting an examination of the institutions liquidity coverage ratio.
* Deployed, as part of a team in implementing a remediation plan for a large European banking institution regarding a section 166 notice issued by the Financial Conduct Authority. A section 166 notice is an independent review of a regulated firm, usually focusing on specific issues where the regulator wishes to delve deeper into a firm’s activities.
* Assisted in the conduction of 14 on-site reviews of Foreign Branches and Subsidiaries inclusive of Wealth Management, Asset Management, Consumer Finance, Leasing and Factoring entities for a large European wholesale and retail banking institution
* Currently, assisting in the conduction of a Quality Assurance assessment of First Level AML/CFT Controls for a large European wholesale and retail banking institution.
* PMO for a quality assurance review of First Level AML/CFT and Sanctions/Embargo Controls for a large European wholesale and retail banking institution

**Engagement No.1**

* Analysing methodologies such as the Additional Liquidity Monitoring Metrics, Liquidity Coverage Ratio, and the Net Stable Funding Ratio.
* Evaluating procedures such as the Asset Liability Committee, Net Stable Funding, Treasury and Asset Liability Management, Board Risk and Compliance Committee, Executive Committee.
* Reviewing policies such as the Group Treasury Liquidity, Regulatory reporting, Liquidity and Funding risk.
* Analysing manuals such as the Group Risk Governance, Group Governance and the Group Risk framework.
* Evaluating process maps including Liquidity Coverage Ratio, Additional Liquidity Monitoring Metrics, and the Net Stable Funding Ratio.
* Conducted a benchmarking exercise against nine European peer banks.
* Assisting in the conduction of interviews of various employees sitting within the first and second line of defence.
* Assisting in the development of a report on how to improve the European retail banks internal control framework, while addressing various deficiencies in their liquidity coverage ratio reporting structure.

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**Engagement No.2**

* Reviewing the skilled persons section 166 report.
* Assessing all documentation pertaining to the oversight on outsourcing arrangements.
* Developed second line controls for third party oversight arrangements.
* Developed a Know Your Customer checklist for the entity.
* Assessing multiple Service Level Agreements conducted between the entity and third parties.
* Analysing multiple sections of the Financial Conduct Authorities handbook, for the purposes of making assessments on the above.
* Assisting in the remediation of KYC files for business as usual clients.
* Conduction of customer due diligence during the on-boarding of new financial institution and corporate clients.
* Provide support and research to projects involving strategic qualitative analysis and financial quantitative analysis

**Engagement No.3**

* Analysing multiple Global Operational Regulations including Customer and Payment Screening, Trade Finance, AML standards for Correspondent Banking Services, Financial Sanctions, and AML requirements for Customer Due Diligence, Leasing and Consumer Finance.
* Reviewing multiple Global Policies such as Financial Sanctions, Code of Conduct, Whistleblowing and Anti-Money Laundering and Countering Terrorist Financing.
* Conducting on-site visits to multiple legal entities including, leasing, factoring, consumer finance, wealth management and asset management, for the purposes of assessing their individual compliance with Group standards and obligations.
* Interviewing members of legal entities including the Head of Legal and/or Compliance.
* Writing weekly reports in both PowerPoint and Excel format.
* Responsibility for the organisation and maintenance of our internal SharePoint.
* Preparation of presentation decks on the purposes of our on-site visits to each legal entity.

**Engagement No.4**

* As the dedicated Project Management Officer, I report into both a local steering committee and Group Anti-Financial Crime.
* Provide weekly status reports to both the local steering committee and Group Anti-Financial Crime.
* Single point of contact for both local unit heads, and Group Anti-Financial Crime.
* Conduct testing on KYC files, Transaction Monitoring and other business processes and procedures as required.
* Arrange and minute meetings with key stakeholders.
* Coordination and maintenance of our internal SharePoint.
* Report writing on findings.

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