

# James Drew Siegel


Regulatory Consultant/Masters in Common Law Student

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## Professional Profile

After a decade developing regulatory consulting skills with banks, credit unions, and financial technology companies in the United States, I am completing my Masters in Common Law at University College Dublin. I intend to become a solicitor so I can continue to work in the field of law. I have a proven track record of success in a professional services firm environment, which leaves me well positioned for future success at an Irish law firm.

## Work Experience

 **Independent Regulatory Consultant, One Community Bank (Remote)** 2/2024 – Current  
Regulatory consultant supporting the Chief Risk Officer and Chief Compliance Officer

- Create/update regulatory policies, procedures, and risk assessments.
- Research regulatory issues for new products, new services, and bank acquisitions.
- Serve as a compliance resource for bank staff, answering one-off questions.
- Support bank compliance and risk functions during federal regulatory exams.
- Remote part-time position, taken to facilitate my move to the EU and starting school full-time.

 **Senior Manager, Risk and Accounting Advisory Services, Plante Moran (Michigan, USA)** 8/2014 – 1/2024  
Regulatory compliance consulting for banks, credit unions, and fintech companies

### TECHNICAL EXPERTISE

- Consulted with clients on questions related to deposit and lending compliance.
- Developed compliance programs and policies for clients.
- Performed regulatory risk assessments for clients.
- Served as a client liaison in discussions with federal and state regulators during regulatory exams.

### COMMUNICATION

- Wrote three to five technical thought leadership articles per year for publication on the Plante Moran website, as well as for distribution to client email lists and in firm financial institutions publications.
- Created and delivered presentations to nation-wide organisations on different financial services risk management topics.
- Served as a firm liaison to Boards of Directors and Audit Committees for key clients, attending in person and virtual meetings.

### CLIENT SERVICE

- Served as practice scheduling coordinator, managing a schedule of 300+ engagements annually.
- Maintained a book of approximately 65 clients, serving as primary service contact for each relationship.
- Specialised in working with demanding client relationships and service recovery.
- Took part in the new client pursuit process, including the drafting of proposals and the presentation of final proposals for services to Boards and Audit Committees.

### STAFF DEVELOPMENT AND TEAM BUILDING

- Oversaw practice teams of 10 to 15 staff members.
- Served as an active mentor and performance management advisor for eight to ten staff annually, writing and delivering mid-year and year-end performance reviews, as well as conducting monthly performance check-ins with each staff.
- Developed and implemented a new staff training and onboarding program for the practice.
- Nominated for firm-wide staff development leadership award.

- Organised and participated in quarterly team happy hours and new hire happy hours.

#### **BILLING AND PRACTICE ECONOMICS**

- Responsible for billing and collection for a book of approximately 65 clients.
- Averaged 28 days in account receivable/client collections turnaround time.
- Maintained an average of 81% realisation on fee budgets for engagements within client book.

#### **DIVERSITY, EQUITY, AND INCLUSION**

- Served as the leader of the firm's LGBTQ+ staff resource group (PM Pride).
- Regularly served as a speaker at firm-wide diversity, equity, and inclusion events.

## Education

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 <b>Masters in Common Law</b> , University College Dublin, Dublin	Expected May 2026
 <b>Masters in Business Administration – Accounting</b> , Rutgers University, New Jersey	2014
 <b>Bachelors of the Arts - English</b> , University of Arizona, Arizona	2011

## Volunteer Experience

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- **LGBT Ireland (September 2024 – Present)**: Special Projects Volunteer
- **University College Dublin Student Legal Service Clinic (October 2024 – Present)**: Clinic Volunteer

## Certifications

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- **Certified Public Accountant (CPA)**: Held Since September 2015
- **Certified Anti-Money Laundering Specialist (CAMS)**: Held Since June 2017
- **Certified Regulatory Compliance Manager (CRCM)**: Held Since June 2020