

Nathan Wheeler

087 183 5261

Nathan.wheeler2@mail.dcu.ie

Professional with experience working in financial and legal services looking to become a solicitor.

Experience

Senior Analyst in Regulatory Reporting, Fidelity International Ireland

January 2017 - Present

Managing projects and conducting a full review of all regulatory obligations for the EMEA team on a continuous basis.

- Managed a project concerning a full regulation review of over 26 countries in relation to local and international laws on shareholding disclosures, including short trading; takeovers; and major shareholding notifications.
- Managed AIFMD & MiFID 1 reporting to the UK FCA. Re-drafted procedure documentation and ensured high standard compliance to the directive.
- Drafted major shareholding, shorts, and takeover filings to regulators across Europe, Middle East, and Africa.
- Implemented an early warning monitoring system to capture any upcoming changes in regulation which might affect regulatory reporting obligations.

MiFID II Compliance Consultant, Irish Progressive Services International

February 2016 - December 2016

Project managed company compliance with MiFID II regulations and directive as well as improving the overall efficiency of the compliance function by simplifying processes and developing tighter controls on risks.

- Conducted a full gap analysis on and began the full implementation of MiFID II, with a strong focus on corporate governance and transaction reporting.
- Managed a full training programme for all staff in relation to compliance, AML, MAR, data protection and MiFID I requirements.
- Published a Risk and Compliance Procedure Manual and a Policy Manual to improve the efficiency of the department and improve the overall understanding of compliance at the front and second line level.
- Conducted full risk based monitoring reporting throughout the business.

Project Administrator, KB Associates

January 2016 — February 2016

- Managed the implementation of CRM Contact Management System encapsulating over 8,000 contacts and associated funds.

Financial Services Legal Assistant, Mason Hayes & Curran

February 2015 — August 2015

Administered security reports for banking transactions involving companies and government agencies and ensured they were coordinated effectively and accurately.

- Managed a banking securities project for numerous Irish retail banks as well as assisting senior partners on NAMA loan sales.
- Drafted legal documentation for associates and partners in relation to due-diligence, banking transactions, security status reports, restructures and loan sales.
- Published an internal practicing memo on compiling banking security reports and the completion of business banking transactions. This document was made standard for staff after my departure.
- Submitted security reports on regulatory and compliance issues for major Irish banks.

Civil Litigation Intern, Gaffney Halligan & Co. Solicitors
September 2014 — February 2015

Maintained files with senior partner across a range of areas, including family law, commercial law, personal injury and general litigation disputes.

- Drafted documentation for lodgement in District Court, Circuit Court and High Court proceedings. Prepared shareholder agreements for SMEs and corporate formation.
- Attended consultations with clients and preparing briefs for counsel for matters to be heard at District, Circuit and High Courts.

Media and Commercial Law Intern, Meagher Solicitors
February 2014 — May 2014

Assisted on cases with associates on areas of defamation law, privacy law, commercial law and legal disputes relating to the media industry.

- Administered the preparation of affidavits for trial in the High Court.
- Researched unclear areas of commercial and media law, primarily in defamation and shareholder disputes in commercial entities.

Medical and Civil Litigation Intern, Augustus Cullen Law
June 2013 — September 2013

Conducted work on medical law files, including in the areas of medical negligence litigation, medical product liability and general litigation disputes.

- Assisted with sourcing and briefing medical experts, collation of medical documentation, and analysis of reports.
- Compiled conveyancing documentation and worked closely with all aspects of probate.
- Worked with a general litigation partner issuing summons and following up tort disputes.

Human Rights Intern, Amnesty International Ireland
May 2012 — September 2012

Worked with the legal department and urgent action team on the completion of documents and the facilitation of campaigns.

- Researched and reported on international criminal law and death penalty legislation to in-house practitioners.
- Reorganised the Amnesty International Ireland's volunteering system to ensure efficiency and consistency of volunteering within the NGO.

Education

September 2016 - Present

FE1 Exams currently passed: Criminal, Contract, Equity & Trusts, Company
Sitting in October 2017: Tort, Constitutional, Property, EU

September 2011 - June 2014

BCL (Hons) Law & Society, Dublin City University. Grade: 2.1

September 2005- June 2011

Dundalk Grammar School. Achieved 440 Points in 2011 Leaving Certificate.

Skills

Software proficiency in: Key House, EXPD8, File Site/I Manage, Gabriel, Unavista, SEO, Elite, BigHand, Equitrack, Microsoft Word, Excel, PowerPoint, Outlook, Lotus and internal data transfer software.